CYNGOR SIR
YNYS MÔN
ISLE OF ANGLESEY
COUNTY COUNCIL

AUDIT & GOVERNANCE COMMITTEE

FORWARD WORK PROGRAMME

24 July 2018

Contact Officer:	Marion Pryor, Head of Internal Audit & Risk
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Telephone:	01248 756211

Date	Subject	Responsible Officer (including e-mail address)
27 June 2018 **24 July 2018** **19 September 2018** **4 December 2018** **12 February 2019**	**Internal Audit Update** • An update on Internal Audit's latest progress in terms of its service delivery, assurance provision, reviews completed, performance and effectiveness in driving improvement.	**Head of Internal Audit & Risk** MarionPryor@ynysmon.gov.uk

27 June 2018
24 July 2018
19 September 2018
4 December 2018
12 February 2019
	External Audit Progress Report
	• An update on External Audit's work:
	  ○ Performance Audit
	  ○ Financial Audit
		Performance Audit Lead – Wales Audit Office
		Gwilym.bury@audit.wales
		
		Financial Audit Manager – Deloitte
		cedge@deloitte.co.uk

24 July 2018	**Annual Treasury Management Report 2017/18** • The annual treasury report is a requirement of the Council's reporting procedures and covers the treasury activity for 2017/18.	**Head of Function (Resources) / S151 Officer** MarcJones@ynysmon.gov.uk
19 September 2018 **12 February 2019**	**Outstanding Internal Audit Recommendations** • A report of all outstanding internal audit recommendations	**Head of Internal Audit & Risk** MarionPryor@ynysmon.gov.uk
19 September 2018	**Internal Audit Charter** • Annual review of the Internal Audit Charter will be submitted to the Committee for approval	**Head of Internal Audit & Risk** MarionPryor@ynysmon.gov.uk
19 September 2018 **12 February 2019**	**Corporate Risk Register** • In accordance with its terms of reference, the Audit and Governance Committee is required to review the Corporate Risk Register and, where appropriate, request a response from management on actions to manage risks.	**Insurance & Risk Manager** JulieJones@ynysmon.gov.uk

Date	Subject	Responsible Officer (including e-mail address)
19 September 2018 **12 February 2019**	**Progress made on External Regulatory Reports** • The Audit and Governance Committee is requested to consider the progress made on external regulatory reports**,** which are directly related to the issues of governance or the management of risk within the Council.	**Programme, Business Planning & Performance Manager** GethinMorgan@ynysmon.gov.uk
19 September 2018	**Report of the Head of Function (Resources) regarding the Annual Finance and Governance Report 2017/18** The Audit and Governance Committee is charged with approving the accounts on behalf of the Council. The Audit and Governance Committee is therefore required to: • approve the Annual Finance and Governance Report 2017/18, including the Statement of Accounts 2017/18, • receive the Appointed Auditor's report on the accounts and the ISA 260, and to • approve the Final Letter of Representation.	**Head of Function (Resources) / S151 Officer** MarcJones@ynysmon.gov.uk
19 September 2018	**Review of the Audit and Governance Committee's Terms of Reference** • The Audit and Governance Committee should periodically review its terms of reference for appropriateness, with consideration given to sector guidance and the needs of the Council.	**Head of Function (Resources) / S151 Officer** MarcJones@ynysmon.gov.uk
4 December 2018	**Review of the Risk Management Strategy and Framework** • In accordance with its terms of reference, the Audit and Governance Committee is required to keep under review the Risk Management Strategy for the Council.	**Insurance & Risk Manager** JulieJones@ynysmon.gov.uk

Date	Subject	Responsible Officer (including e-mail address)
4 December 2018	**Mid-year Report on Treasury Management for 2018/19** • CIPFA's Treasury Management Practice Reporting requirements and management information arrangements recommend that local authorities should, as a minimum, report the treasury management position mid-year. The Committee is requested to note the current position on investments and borrowing.	**Head of Function (Resources) / S151 Officer** MarcJones@ynysmon.gov.uk
12 February 2019	**Internal Audit Strategy and Annual Plan 2019/20** • The Public Sector Internal Audit Standards (2017) requires the chief audit executive to present the Internal Audit Strategy and Annual Plan to the Audit and Governance Committee for approval.	**Head of Internal Audit & Risk** **MarionPryor@ynysmon.gov.uk**
12 February 2019	**Treasury Management Strategy 2019/20 and Actual Prudential Indicators for 2019/20** • CIPFA's Treasury Management Practice Reporting requirements and management information arrangements recommend that local authorities should, as a minimum, report annually on their treasury management strategy and plan, before the start of the year. • The report will cover the actual Prudential Indicators for 2019/20 in accordance with the requirements of the Prudential Code.	**Head of Function (Resources) / S151 Officer** **MarcJones@ynysmon.gov.uk**
12 February 2019	**Corporate Risk Register** • In accordance with its terms of reference, the Audit and Governance Committee is required to review the Corporate Risk Register and, where appropriate, request a response from management on actions to manage risks.	**Insurance & Risk Manager** **JulieJones@ynysmon.gov.uk**

Date	Subject	Responsible Officer (including e-mail address)
12 February 2019	**Progress made on External Regulatory Reports** • The Audit and Governance Committee is requested to consider the progress made on external regulatory reports, which are directly related to the issues of governance or the management of risk within the Council.	**Programme, Business Planning & Performance Manager** **GethinMorgan@ynysmon.gov.uk**

FUTURE REPORTS

Date	Subject	Responsible Officer (including e-mail address)
April 2019 **June 2019** **July 2019** **September 2019** **December 2019** **February 2020**	**Internal Audit Update** • An update on Internal Audit's latest progress in terms of its service delivery, assurance provision, reviews completed, performance and effectiveness in driving improvement.	**Head of Internal Audit & Risk** MarionPryor@ynysmon.gov.uk

April 2019
June 2019
July 2019
September 2019
December 2019
February 2020
	External Audit Progress Report
	• An update on External Audit's work:
	  ○ Performance Audit
	  ○ Financial Audit
		Performance Audit Lead – Wales Audit Office
		Gwilym.bury@audit.wales
		
		Financial Audit Manager – Deloitte
		cedge@deloitte.co.uk

April 2019	**Annual Report of the Audit & Governance Committee – Chair's Report** • The Committee are asked to approve the Chair's Report for submission to full Council	**Head of Function (Resources) / S151 Officer** MarcJones@ynysmon.gov.uk

Date	Subject	Responsible Officer (including e-mail address)
June 2019	**Draft Report of the Head of Function (Resources) / S151 Officer regarding the Annual Finance and Governance Statement 2018/19** • The Audit and Governance Committee is requested to comment on the content of the draft Annual Finance and Governance Report 2018/19 and contribute to the evaluations, conclusions and recommendations proposed to further develop or strengthen elements of the Council's governance arrangements during 2019/20.	**Head of Function (Resources) / S151 Officer** MarcJones@ynysmon.gov.uk **Programme, Business Planning & Performance Manager** GethinMorgan@ynysmon.gov.uk
June 2019	**Internal Audit Annual Report 2018/19** • The Public Sector Internal Audit Standards requires the chief audit executive to deliver an annual internal audit opinion and report that can be used by the Council to inform its governance statement. The annual internal audit opinion must conclude on the overall adequacy and effectiveness of the Council's framework of governance, risk management and control. • The Committee is asked to note the report from the Head of Internal Audit & Risk on the conclusion of the internal audit work carried out during 2018/19.	**Head of Internal Audit & Risk** MarionPryor@ynysmon.gov.uk
September 2019	**Review of the Audit and Governance Committee's Terms of Reference** • The Audit and Governance Committee should periodically review its terms of reference for appropriateness, with consideration given to sector guidance and the needs of the Council.	**Head of Function (Resources) / S151 Officer** MarcJones@ynysmon.gov.uk